



(FW)

PATENT
Attorney Docket No.: SAM-0105DIV
Customer No.: 29344

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Young-Kwang Kim, *et al.*
Serial No.: 10/775,270
Filing Date: February 10, 2004
Title: SEMICONDUCTOR DEVICE HAVING JUNCTION DIODE AND
FABRICATING METHOD THEREFOR

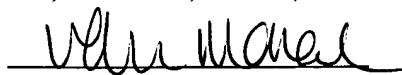
Examiner: Not yet assigned
Group Art Unit: 2811

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Post Office as First Class Mail on the date indicated below in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

7-29-04

Date


Vanessa Marakas

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:


Enclosed herewith for filing in the above-identified patent application please find the following listed items:

1. Information Disclosure Statement;
2. Form PTO-1449; and
3. Return Postcard.

In connection with the foregoing matter, please charge any additional fees which may be due, or credit any overpayment, to Deposit Account Number 50-1798. A duplicate copy of this letter is provided for this purpose.

Respectfully submitted,

Date: July 29, 2004
Mills & Onello, LLP
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.129(a), or
(First/Second submission after Final Rejection)
- ☒ under 37 CFR 1.97(b), or
(Within three months of filing national application other than a CPA under §1.53(d); or within three months of date of entry of the national stage in international application; or before mailing date of first Office Action on the merits; or before mailing date of first Office Action after filing RCE under §1.114, whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, or
- ☐ the \$180.00 fee under 37 CFR 1.17(p), or
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, or other action which closes prosecution, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:
- ☐ a Statement under 37 CFR 1.97(e), as checked below, and
- ☐ the \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s): Young-Kwang Kim, *et al.*
Serial No.: 10/775,270

Statement Under 37 C.F.R. 1.97(e)

- ☐ Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Enclosed herewith is form PTO-1449:

- ☒ Copies of cited references are on record in parent application Serial No. 09/645,285, now U.S. Patent No. 6,717,209.
- ☐ Copies of cited references are enclosed.
- ☐ Copies of cited references are enclosed except those entered in prior application, U.S. Serial No. _____, and references that are not required to be submitted under 37 CFR 1.98.
- ☐ The listed references were cited in a counterpart foreign application.

Concise Explanation Requirement (non-English references):

- ☐ The "concise explanation" requirement under 36 CFR 1.98(a)(3)(i) for reference ____ is satisfied by:
 - ☐ the explanation provided on the attached sheet.
 - ☐ the explanation provided in the Specification.
 - ☐ abstract.

It is requested the information disclosed herein be made of record in this application.

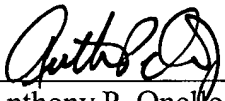
Applicant(s): Young-Kwang Kim, *et al.*
Serial No.: 10/775,270

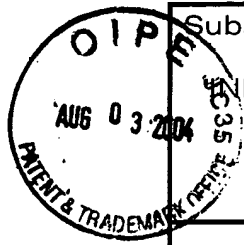
Method of payment:

- ☐ Enclosed is a check in the amount of _____.
- ☐ Please charge Deposit Account of 50-1798 in the amount of _____.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account No. 50-1798.

Respectfully submitted,

Date: July 29, 2004
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Anthony P. Onello, Jr.
Registration Number 38,572
Attorney for Applicants



Subst. Form PTO-1449

INFORMATION DISCLOSURE CITATION
IN AN APPLICATION

(Use several sheets if necessary)

Docket Number (Optional)

SAM-0105DIV

Application Number

10/775,270

Applicant

Young-Kwang Kim, et al.

Filing Date

02/10/04

Group Art Unit

2811

U. S. Patent Documents

EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,182,220	01/26/93	Ker, et al	437	34	04/02/92
	AB	5,321,293	06/14/94	Mojaradi, et al.	257	369	07/12/93
	AC	5,932,914	08/03/99	Horiguchi	257	355	07/22/97
	AD	6,013,927	01/11/00	Bothra, et al.	257	328	03/31/98
	AE	2001/0039079	11/08/01	Shin	438	142	07/23/01
	AF	6,329,691	12/11/01	Finzi	257	355	12/13/99
	AG	6,344,385	02/05/02	Jun, et al.	438	237	03/27/00
	AH	6,365,939	04/02/02	Noguchi	257	355	01/27/00
	AI	6,376,388	04/23/02	Hashimoto, et al.	438	728	01/22/97
	AJ	6,559,485	05/06/03	Aoyama	257	211	03/24/00
	AK						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy with next communication to applicant.